Residential Oversight and Child Protection

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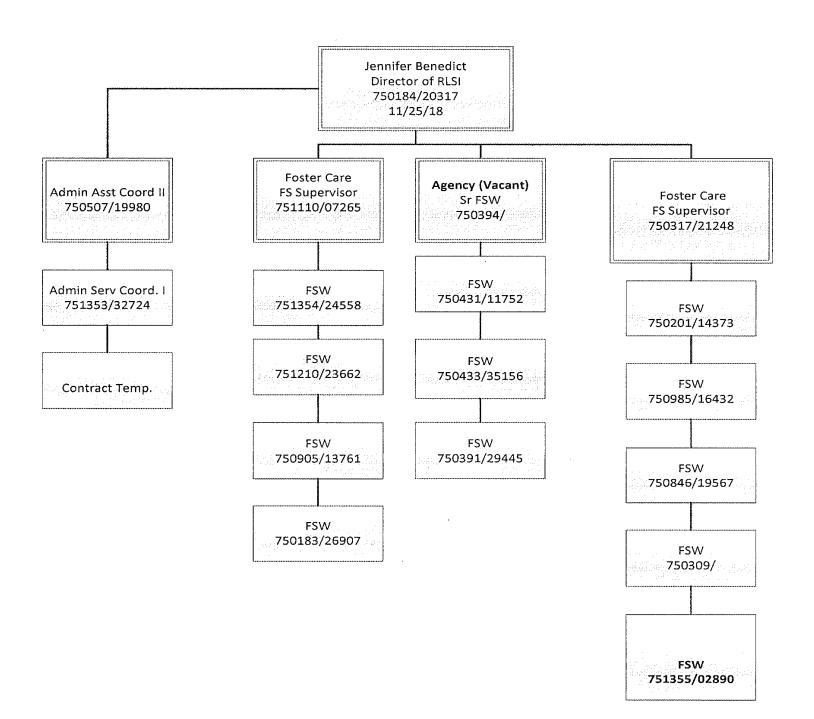


Residential Licensing and Special Investigations

Two functions:

- License and Regulate:
 - Residential treatment programs
 - Foster/kin homes
 - Child placing agencies
 - Commissioner designated shelters (for youth)
- Special Investigations:
 - Child safety interventions (assessments and investigations)

RLSI Org Chart



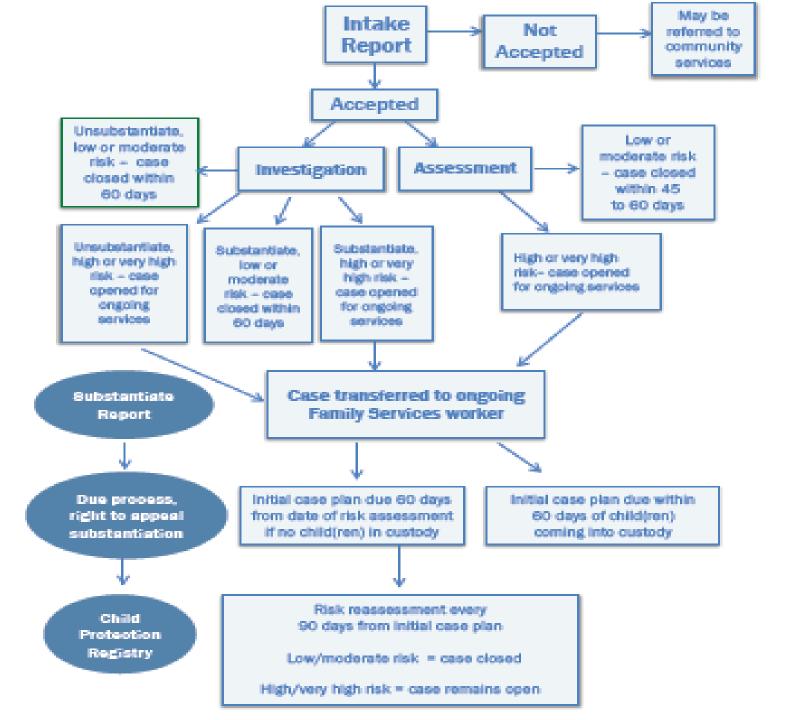
RTP Application Process:

- Written application submitted which includes:
 - Program description and evaluation of services
 - o Information on governing body, by-laws, meeting minutes
 - Org chart and qualifications of administrators, clinicians and staff
 - Direct care staff schedules
 - Program policies and procedures
 - De-escalation/restraint modalities
 - Fire inspection
- Site visit is scheduled and includes:
 - Meeting administrators and tour of space
 - Discussion of RTP regulations
 - Documentation review of policies, background checks, handbooks, etc.
- Decision is made with these possible outcomes:
 - Issue full license if in full compliance
 - o Issue temporary license if in substantial compliance with timeline for full compliance
 - Deny application until full compliance is established

RLSI Regulatory Process

- Complaint is received through the Central Intake and Emergency Services Unit (24hr hotline)
- Complaint is accepted or not depending on if there is enough information citing a regulatory violation
- If not accepted no response
- If accepted, it is assigned to RLSI staff who:
 - Contact the program administrator about the concern
 - Interview youth involved when possible
 - Interview program staff
 - Review files and collateral information
- Decision is made with these possible outcomes:
 - Program is found to be in compliance no violations
 - Program is not in compliance clarify expectations, educate, violations cited and possible corrective action plan
 - Program is notified of findings verbally and in writing
- Regulatory interventions are reviewed at each licensing renewal

Child Abuse Allegation Flow Chart



Identified Gaps

The regulatory process aims to enhance care of children and youth through education of regulations and corrective action plans

- Regulatory oversight is limited DCF can only close the licenses we issue
 - Issues with hybrid programs
- DCF can't provide concerning information to other national regulating/licensing entities based on statute
- DCF has not yet revoked a RTP license